

## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.: 20030403.ORI

First Inventor: Ronald W. Kolb

Title: STONE MOUNT AND CLASP FOR JEWELRY

Express Mail Label No.: EV457550895US

Address to: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



### Application Elements:

1. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
2. ☒ Specification      Total Pages: 8
3. ☒ Drawings      Total Sheets: 7
4. Oath or Declaration Total Pages:
  - a. ☒ Newly executed.
  - b. ☐ Copy from prior application  
(for continuation/divisional with Box 13 completed)
5. ☒ Application Data Sheet.

### Accompanying Application Parts

6. ☐ Assignment Papers (cover sheet & document)
7. ☐ Information Disclosure Statement
8. ☐ Preliminary Amendment
9. ☒ Return Receipt Postcard
- ☐

10. Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

11. ☒ Certificate of Mailing Via Express Mail

12. ☒ Check in the amount of \$385.00

13. ☐ If a **CONTINUING APPLICATION** (check appropriate box and supply requisite information below and in a preliminary amendment, or in an Application Data Sheets under 37 CFR 1.76):

☐ Continuation ☐ Divisional ☐ Continuation-in-Part

of prior application Serial No.:

Prior application information: Examiner: Group Art Unit:

☐ **Assignee Information for Inclusion on the Patent Application Publication:**

Assignee:

Address:

### Method of Payment

☒ Payment enclosed by check to cover the filing and recording fees.

☒ The Commissioner is authorized to charge any fees or refund any overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 08-1265 under Deposit Account name of Nikolai & Mersereau, P.A.

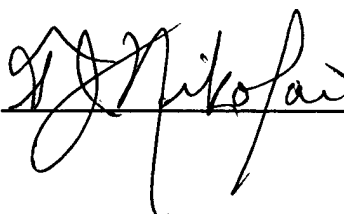
### Correspondence Address:

Customer No.: 23595

Typed Attorney Name: Thomas J. Nikolai

Attorney Registration No.: 19,283

Telephone Number: 612-339-7461

Signature:  Date: 12/4/03

PATENT APPLICATION  
Our Docket No. 20030343.ORI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : Ronald W. Kolb  
For : STONE MOUNT AND CLASP FOR JEWELRY

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CERTIFICATE OF MAILING VIA EXPRESS MAIL

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

"Express Mail" Label Number EV357550895US

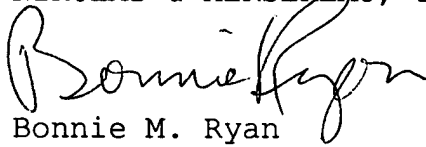
Date of Deposit: December 4, 2003

I hereby certify that the foregoing patent application consisting of 5 pages specification, 2 pages of claims, 1 abstract page, 7 sheets of informal drawings, an executed Declaration, Power of Attorney and Petition, Utility Patent Application Transmittal, Patent Application Data Entry Format, Fee Transmittal for FY 2003, and a check in the amount of \$385.00 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the Date of Deposit indicated above and addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450, Addressee Label No. EV357550895US.

Respectfully Submitted,

NIKOLAI & MERSEBEAU, P.A.

A handwritten signature in cursive script, appearing to read "Bonnie Ryan".

Bonnie M. Ryan  
On Behalf of Thomas J. Nikolai  
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900 Second Avenue South  
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(612) 339-7461

Enclosures

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL**  
**for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 385.00

**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Ronald W. Kolb
Examiner Name	
Art Unit	
Attorney Docket No.	20030403.ORI

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

08-1265

Nikolai &amp; Mersereau

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>(\$385)</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Claims	-3** =	X	
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 84	2201 42	Independent claims in excess of 3	
1203 280	2203 140	Multiple dependent claim, if not paid	
1204 84	2204 42	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>			<b>(\$)</b>

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)**SUBMITTED BY**

(Complete (if applicable))

Name (Print/Type)	Thomas J. Nikolai	Registration No. (Attorney/Agent)	19,283	Telephone	612-339-7461
Signature	<i>Thomas J. Nikolai</i>	Date	12/4/03		

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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